PATENT



Attorney Docket No: DOCM-002/01US

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Date of Deposit:

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Date:

23 March 2001

By:

Vladimir Skliba

Box Patent Application Commissioner for Patents Washington, D.C. 20231

NEW PATENT APPLICATION TRANSMITTAL

- Transmitted herewith for filing is a utility patent application: 1.
 - title: METHOD AND APPARATUS FOR GENERATING a. METADATA FOR A DOCUMENT
 - inventors: Victor SPIVAK, Alex RANKOV, Howard I-Hui SHAO, b. Razmik ABNOUS, and Matthew Raymond SHANAHAN
- This application is a provisional conversion application of U.S. Provisional 2. Application Serial No. 60/192,236, filed March 27, 2000.
- 3. Enclosed are:
 - a. X(30) pages of specification
 - (12) sheets of informal drawings b.
 - **Executed Declaration** c.
 - Executed Power to Prosecute by Assignee and Statement Under 37 d. [X]CFR §3.73(b)
 - Executed Assignment and Recordation Form Cover Sheet e. [X]

f.		Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 .
g.	[]	Certified copy of application number(s) filed.
h.	[]	Information Disclosure Statement.
i.	[]	Preliminary Amendment.
j.	[]	Petition for Expedited Foreign Filing License.
k.	[]	Declaration of Availability under MPEP 2404
l.	[]	Appointment of Power of Attorney.

4. The fees has been calculated as shown below:

Postcard.

[X]

m.

CLAIMS AFTER ENTRY OF ANY AMENDMENTS, LESS ANY CANCELLED CLAIMS

FOR:	Claims Filed		Extra Claims ¹	Small Entity Rate Fee		Other Than a Small Entity Rate Fee		Total Filing Fee
Basic Fee					\$355		\$710	\$710.00
Total Claims	20	-20=	0	\$9		\$18		\$0.00
Independent Claims	3	-3=	0	\$40		\$80		\$0.00
Multiple Dependent Claims Presented					\$135		\$270	\$0.00
TOTAL								\$710.00

1 If difference is negative, enter "0".

[] Petition for Expedited Foreign Filing License (\$130.00)	\$0.00
[X] Other fees:	
Recording of Assignment	\$40.00
TOTAL FEES:	\$750.00

[X] Check number 14043 for the amount of the above indicated TOTAL FEES is attached.

[]	Please charge Deposit Account No. 03-3117 in the amount of \$0.00.
n	No fee is required.

5. An extension of time is requested to provide for timely filing <u>if</u> an extension of time is still required after all papers filed with this transmittal have been considered.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, or credit any overpayment to Deposit Account No. 03-3117:

- [X] Any national application filing fees under 37 CFR 1.16.
- [X] Any patent application processing fees under 37 CFR 1.17.
- [X] Any extension fee under 37 CFR 1.136.
- **6.** Please direct all correspondence concerning this application to:

COOLEY GODWARD LLP

Attention: Patent Group Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

CUSTOMER NUMBER: 23419

Respectfully submitted, COOLEY GODWARD LLP

Dated: 23 March Jag

By:

Thomas L. Ewing Reg. No. 34,328

Cooley Godward LLP Attn: Patent Group Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

TLE/CZL:dm

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applic	cant/Pa	tent Owner: Documentum, Inc.
Applic	cation l	No./Patent No.: Not yet assigned Filed/Issue Date: Herewith
Entitle	ed:	METHOD AND APPARATUS FOR GENERATING METADATA FOR A DOCUMENT
		Documentum, Inc. (Name of Assignee)
3		Corporation
a ,		Corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states 1	that it i	s:
I.	[X]	the assignee of the entire right, title, and interest; or
2.	[] an assignee of an undivided part interest
in the	patent	application/patent identified above by virtue of either:
A.	[X]	An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in t Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR		
B.	[] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
		1. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
		2. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
		3. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
		Additional documents in the chain of title are listed on a supplemental sheet.
	[X]	Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must submitted to Assignment Division in accordance with 37 C.F.R. Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]
		arch 21, 2001 arch 21, 2001
		Signature <i>O</i> Bob L. Corey
		Typed or printed name
		EVP and CFO
		Title